

HCFA RECORD SPECIFICATION

FILE NAME: ESRD FACILITY DATA		DATE: July 1996	
Field	Size	Location	Remarks
1. Provider Master No.	6	1- 6	Identification number of provider. First 2 digits= State Code-Attachment A. Next 4 digits beginning with: 0=Short Stay Hospital 20=Long Term Hospital 25-28=Free-Standing Renal 33=Children's Hospital 35=Hospital ESRD Satellite
2. Provider Name	38	7- 44	Name of Facility (First 38 characters including blanks)
3. Street Address	38	45- 82	Street Address of Facility (First 38 Characters Including Blanks)
4. City	31	83-113	City of Provider
5. State	2	114-115	State Abbreviation (See Attachment A)
6. Zip	5	116-120	5 Position Zip Code
7. For Future Use	3	121-123	Blank
8. CCPD	1	124	Code to Indicate Continuous Cycle Peritoneal Dialysis Y=Yes N=No
9. Maintenance Hemo	1	125	Code to Indicate Staff Assisted Hemodialysis Y=Yes N=No
10. Maintenance Peri	1	126	Code to Indicate Staff Assisted Peritoneal Dialysis Y=Yes N=No
11. Self-Care Hemo	1	127	Code to Indicate In-Unit Self-Care Hemodialysis at Facility Y=Yes N=No

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12. Self-Care Peri	1	128	Code to Indicate In-Unit Self-Care Peritoneal Dialysis at Facility Y=Yes N=No
13. Training Hemo	1	129	Code to Indicate Hemodialysis Training at Facility Y=Yes N=No
14. Training Peri	1	130	Code to Indicate Peritoneal Dialysis Training Y=Yes N=No
15. CAPD	1	131	Indicates CAPD (Continuous Ambulatory Peritoneal Dialysis) Y=Yes N=No
16. Total Stations	3	132-134	Total Number of Dialysis Stations at Facility
17. Certification Date	6	135-140	YYMMDD-Date of Certification to provide renal services
18. Certification Type	1	141	Code Indicating Type of Facility Certification (See Item 19. Certification Type.)
19. Survey Cert	1	142	Certification type used for Facility Survey Purposes 1=Transplant Center Only 2=Dialysis Center (usually a hospital rendering full spectrum of dialysis services including laboratory tests.) 3=Dialysis Facility Hospital (A unit separate from but located within the hospital. Renders dialysis services but not full spectrum.) 4=Dialysis Facility (Not a hospital. Renders dialysis services but not full spectrum. Also referred to as independent facilities.) 5=Transplant and Dialysis Center (A hospital rendering transplants and full spectrum of dialysis services.)

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Field	Size	Location	Remarks
			<p>6=Special Purpose Facility (There are no approved facilities in this category. Therefore, definition has been omitted.)</p> <p>7=Inpatient Care Only (Hospitals approved as a dialysis center but usually does 80% of dialysis on inpatient basis.)</p>
20. Prior Cert Date	6	143-148	YYMMDD Prior Certification
21. Prior Cert Type	1	149	See Item 19. For Certification Type.
22. Termination Date	6	150-155	YYMMDD Blanks Unless Facility terminated
23. Termination Reason	1	156	<p>Reason Facility Terminated</p> <p>Blank if Not terminated</p> <p>1=Involuntary Withdrawal</p> <p>2=Failure to Meet Health and Safety Standards terminated</p> <p>3=Failure to Meet Minimum Utilization Rates (MUR)</p> <p>4=Failure to Meet Need Requirements</p> <p>5=Closed</p> <p>6=Other</p>
24. Prior Term Date	6	157-162	YYMMDD Prior Termination Date
25. Prior Term Reason	1	163	See Termination Reason #22
26. For Future Use	6	164-169	Blank
27. Intermediary	5	170-174	Identification number of current intermediary authorized to process bills from this provider
28. ESRD Current Network	2	175-176	Renal Network Number Provider is assigned (01-18) (Attachment A)
29. Region	2	177-178	HCFA Regional Office Code (01-10) (Attachment A)

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
30. Old Network 2	179-180	Network Designation as	published in <u>Federal Register</u> 06/03/76 (01-32) (Attachment A - old Networks only valid for years prior to 1988)
31. MSA	5	181-185	FIPS MSA Number (4 Positions) 5th Position is Size (A-D) A=1 million or more B=250,000 to 1 million C=100,000 to 250,000 D=Less than 100,000 Note: Size not complete
32. SSA County Code	3	186-188	Geographic code assigned by SSA standards
33. FIPS	5	189-193	Geographic Code Assigned by FIPS standards (Federal Information Processing Standard Code)
34. For Future Use	6	194-199	Blank
35. Cross Refer No.	6	200-205	Provider No. issued by MMACS for hospitals only
36. Convert No.	6	206-211	Indicates provider number for either change of ownership transfer of stations, or number change
37. FYEND	4	212-215	MMDD of Fiscal Year End
38. Telephone Number	10	216-225	Area Code plus phone number of facility
39. For Future Use	2	226-227	Blank

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
40. Type Ownership	2	228-229	Type of Ownership 01=Individual-Profit 02=Partnership-Profit 03=Corporation-Profit 04=Other-Profit 05=Individual-Nonprofit 06=Partnership-Nonprofit 07=Corporation-Nonprofit 08=Other-Nonprofit 09=State-Government Non-Federal 10=County-Government Non-Federal 11=City-Government Non-Federal 12=City/County-Government Non-Federal 13=Hospital District/Authority Government Non-Federal 14=Other-Government Non-Federal 15=Veterans Administration Government Federal 16=Public Health Service- Government Federal 17=Military-Government Federal 18=Other-Government Federal
41. Skeleton Record	1	230	For Non-Medicare Renal Facilities for which HCFA receives HCFA forms V=Non-Medicare VA Facilities W=Medicare VA Facilities Y=Other
42. Change Date	4	231-234	MMYY of last change to record

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
FACILITY SURVEY DIALYSIS PATIENTS			
43. Beginning In-Unit	3	235-237	In-Unit patients receiving care beginning of Survey Period (Item 01)
44. Beginning Home	3	238-240	Home patients receiving care beginning of Survey Period (Item 02)
45. Beginning Total	3	241-243	Total patients receiving care beginning of Survey Period (Items 01+02) (Item 03)
46. In-Unit First Start	3	244-246	In-Unit started for first time ever addition during Survey Period (Item 04A)
47. Home First Start	3	247-249	Home started for first time ever addition during Survey Period (Item 04B)
48. In-Unit Restarted	3	250-252	In-Unit Restarted Addition During Survey Period (Item 05A)
49. Home Restarted	3	253-255	Home Restarted Addition during Survey Period (Item 05B)
50. In-Unit Transferred In	3	256-258	In-Unit Transferred from other dialysis unit-addition during Survey Period (Item 6A)
51. Home Transferred In	3	259-261	Home Transferred from other dialysis unit-addition during Survey Period (Item 06B)
52. In-Unit Transplant Return	3	262-264	In-Unit Returned After Transplantation-Addition during Survey Period (Item 07A)

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FILE NAME: ESRD FACILITY DATA		DATE: July 1996	
Field	Size	Location	Remarks
53. Home Transplant Return	3	265-267	Home Returned After Transplant Addition During Survey Period (Item 07B)
54. In-Unit Deaths	3	268-270	In-Unit Deaths-Losses During Survey Period (Item 08A)
55. Home Deaths	3	271-273	Home Deaths-Losses During Survey Period (Item 08B)
56. In-Unit Recovered	3	274-276	In-Unit Recovered Kidney Function Losses During Survey Period (Item 09A)
57. Home Recovered	3	277-279	Home Recovered Kidney Function Losses During Survey Period (Item 09B)
58. In-Unit Received Transplant	3	280-282	In-Unit Received Transplant Losses During Survey Period (Item 10A)
59. Home Received Transplant	3	283-285	Home Received Transplant Losses During Survey Period (Item 10B)
60. In-Unit Transferred Out	3	286-288	In-Unit Transferred to other dialysis unit - Losses During Survey Period (Item 11A)
61. Home Transferred Out	3	289-291	Home Transferred to other dialysis unit - Losses During Survey Period (Item 11B)
62. In-Unit Discontinued	3	292-294	In-Unit Discontinued Dialysis Losses During Survey Period (Item 12A)
63. Home Discontinued	3	295-297	Home Discontinued Dialysis Losses During Survey Period (Item 12B)

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
64. In-Unit Other	3	298-300	In-Unit Other (LTFU) Losses During Survey Period (Item 13A)
65. Home Other	3	301-303	Home Other (LTFU) Losses During Survey Period (Item 13B)
66. Staff Hemo	3	304-306	Staff-assisted and/or hemodialysis patients receiving care at end of Survey Period (Item 14)
67. Staff IPD	3	307-309	Staff-assisted and/or intermittent peritoneal dialysis patients receiving care at end of Survey Period (Item 15)
68. Hemo Training	3	310-312	Self-dialysis training hemodialysis patients receiving care at end of Survey Period (Item 16)
69. IPD Training	3	313-315	Self-dialysis training intermittent peritoneal dialysis patients receiving care at end of Survey Period (Item 17)
70. CAPD	3	316-318	Self-dialysis training CAPD patients receiving care at end of Survey Period (Item 18)
71. CCPD	3	319-321	Self-dialysis training CCPD patients receiving care at end of Survey Period (Item 19)
72. Total In-Unit	3	322-324	Total In-Unit patients receiving care at end of Survey Period-Items 16 thru 19 (Item 20)

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
73. Home Hemo	3	325-327	Hemodialysis home patients receiving care at end of Survey Period (Item 21)
74. Home IPD	3	328-330	Intermittent Peritoneal Dialysis home patients receiving care at end of Survey Period (Item 22)
75. Home CAPD	3	331-333	CAPD home patients receiving care at end of Survey Period (Item 23)
76. Home CCPD	3	334-336	CCPD home patients receiving care at end of Survey Period (Item 24)
77. Total Home	3	337-339	Total home patients receiving care at end of Survey Period -Items 21 thru 24 (Item 25)
78. Total Patients	3	340-342	Total patients receiving care at end of Survey Period- Items 20 and 25 (Item 26)
79. Medicare Enrolled	3	343-345	Currently enrolled in Medicare patient eligibility status end of Survey Period (Item 27)
80. Application Pending	3	346-348	Medicare application pending- Patient eligibility status end of Survey Period (Item 28)
81. Non-Medicare	3	349-351	Non-Medicare patient eligibility status end of Survey Period (Item 29)
82. Hemo Completed Training	3	352-354	Hemodialysis self-dialysis patients completing training (Item 30)

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DATE:

July 1996

Field	Size	Location	Remarks
83. IPD Completed Training	3	355-357	IPD self-dialysis patients completing training (Item 31)
84. CAPD Completed Training	3	358-360	CAPD Self-Dialysis patients completing training (Item 32)
85. CCPD Completed Training	3	361-363	CCPD Self-Dialysis patients completing training (Item 33)
86. Transient Patients Treated	3	364-366	Transient patients treated during Survey Period (Item 34)
DIALYSIS TREATMENTS			
87. Transient Treatments	5	367-371	Number of Transient Patients treatments during Survey Period (Item 35)
88. Outpatient Hemo tmts	5	372-376	Number of Hemodialysis Outpatient Treatments (Item 36)
89. Outpatient IPD tmts	5	377-381	Number of IPD Outpatient Treatments (Item 37)
90. Hemo Training Tmts	5	382-386	Number of Hemodialysis Training Treatments (Item 38)
91. IPD Training Tmts	5	387-391	IPD Training Treatments (Item 39)
92. CAPD Training Tmts	5	392-396	CAPD Training Treatments (Item 40)
93. CCPD Training Tmts	5	397-401	CCPD Training Treatments (Item 41)
KIDNEY TRANSPLANTS			
94. Patients Transplanted	3	402-404	Patients who received transplant at this facility (Item 42)

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ESRD FACILITY DATA

DATE:

July 1996

Field	Size	Location	Remarks
95. Medicare Patients Transplanted	3	405-407	Patients Transplanted and Currently enrolled in Medicare (Item 43)
96. Medicare Application Pending	3	408-410	Patients Transplanted and Medicare Application Pending (Item 44)
97. Non-Medicare Transplants-U.S.	3	411-413	Patients Transplanted and Non-Medicare-U.S. Resident (Item 45)
98. Non-Medicare Transplants-Other	3	414-416	Patients Transplanted and Non-Medicare-Other (Item 46)
99. Living Related Donor Transplants	3	417-419	Living Related Donor Transplants Performed (Item 47)
100. Living Unrelated Donor Transplants	3	420-422	Living Unrelated Donor Transplants Performed (Item 48)
101. Cadaveric Donor Transplants	3	423-425	Cadaveric Donor Transplants Performed (Item 49)
102. Total Transplants	3	426-428	Total Transplants Performed Items 47 thru 49 (Item 50)
103. Dialysis Awaiting Transplants	3	429-431	Dialysis Patients Awaiting Transplant (Item 51)
104. Non-Dialysis Awaiting	3	432-434	Non-Dialysis Patients Awaiting Transplant (Item 52)
KIDNEYS			
105. Harvested and Transplanted	3	435-437	Cadaver Kidneys Harvested and Transplanted at this Facility (Item 53)

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
106. Harvested and Sent-U.S.	3	438-440	Cadaver Kidneys Harvested at this Center and Sent to another U.S. Facility (Item 54)
107. Harvested and Sent-Non U.S.	3	441-443	Cadaver Kidneys Harvested at this Center and Sent Outside the U.S. (item 55)
108. Harvested and Nonviable	3	444-446	Cadaver Kidneys Harvested at this Center and Nonviable (Item 56)
109. Total Harvested	3	447-449	Total Cadaver Kidneys Harvested at this Center (Item 57)
110. Obtained and Transplanted	3	450-452	Cadaver Kidneys Obtained from Another Transplant Hospital and Transplanted at this Facility (Item 58)
111. Obtained and Sent-U.S.	3	453-455	Cadaver Kidneys Obtained from Another Transplant Hospital and Sent to Another U.S. Facility (Item 59)
112. Obtained and Sent-Non U.S.	3	456-458	Cadaver Kidneys Obtained from Another Transplant Hospital and Sent Outside the U.S. (item 60)
113. Obtained and Nonviable	3	459-461	Cadaver Kidneys Obtained from Another Transplant Hospital and Nonviable (Item 61)
114. Total Obtained	3	462-464	Total Cadaver Kidneys Obtained from Another Transplant Hospital (Item 62)

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FILE NAME: ESRD FACILITY DATA			DATE: July 1996
Field	Size	Location	Remarks
115. Obtained from OPO Transplanted	3	465-467	Cadaver Kidneys Obtained from Independent OPO and Transplanted at this Facility (Item 63)
116. Obtained from OPO and Sent-U.S.	3	468-470	Cadaver Kidneys Obtained from Independent OPO and Sent to Another U.S. Facility (Item 64)
117. Obtained from OPO and Sent-Non U.S.	3	471-473	Cadaver Kidneys Obtained from Independent OPO and sent Outside the U.S. (Item 65)
118. Obtained from OPO and Nonviable	3	474-476	Cadaver Kidneys Obtained from Independent OPO and Nonviable (Item 66)
119. Total Obtained from OPO	3	477-479	Total Cadaver Kidneys Obtained from Independent OPO (Item 67)
120. Obtained and Transplanted	3	480-482	Cadaver Kidneys Obtained from Non-Transplant Hospital and Transplanted at this facility (Item 68)
121. Obtained and Sent U.S.	3	483-485	Cadaver Kidneys Obtained from Non-Transplant Hospital and sent to another U.S. Facility (Item 69)
122. Obtained and Sent Non U.S.	3	486-488	Cadaver Kidneys Obtained from Non-Transplant Hospital and sent outside the U.S. (Item 70)
123. Obtained and Nonviable	3	489-491	Cadaver Kidneys Obtained from Non-Transplant Hospital and Nonviable (Item 71)
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Field	Size	Location	Remarks
124. Total Obtained	3	492-494	Total Cadaver Kidneys Obtained from Non-Transplant Hospital (Item 72)
125. Total Cadaver Transplanted	3	495-497	Total Cadaver Kidneys Transplanted at this Facility (Item 73)
126. Total Cadaver Sent-U.S.	3	498-500	Total Cadaver Kidneys Sent to Another U.S. Facility (Item 74)
127. Total Cadaver Sent-Non U.S.	3	501-503	Total Cadaver Kidneys Sent Outside the U.S. (item 75)
128. Total Nonviable	3	504-506	Total Cadaver Kidneys Nonviable (Item 76)
129. Nonviable Research	3	507-509	Total Nonviable Kidneys Used for research (Item 77)
130. Nonviable Discarded	3	510-512	Total Nonviable Kidneys Discarded (Item 78)
131. Survey Year	2	513-514	Year of Survey YY

ATTACHMENT A

State Code Conversion Table

State Name	State Code	HCFA Region	Network	Old Network
Alabama	AL	4	8	18-20
Alaska	AK	10	16	2
Arizona	AZ	9	15	6
Arkansas	AR	6	13	10-18
California	CA	9	17, 18	3, 4
Colorado	CO	8	15	5
Connecticut	CT	1	1	27
Delaware	DE	3	4	24, 31
District of Columbia	DC	3	5	23
Florida	FL	4	7	19
Georgia	GA	4	6	18-20
Hawaii	HI	9	17	1
Idaho	ID	10	16	2
Illinois	IL	5	10	8, 9, 15
Indiana	IN	5	9	16

State Name	State Code	HCFA Region	Network	Old Network
Iowa	IA	7	12	8
Kansas	KS	7	12	9
Kentucky	KY	4	9	17
Louisiana	LA	6	13	12
Maine	ME	1	1	28
Maryland	MD	3	5	23, 31
Massachusetts	MA	1	1	28
Michigan	MI	5	11	7, 14
Minnesota	MN	5	11	7
Missouri	MO	7	12	9, 18
Mississippi	MS	4	8	18
Montana	MT	8	16	2
Nebraska	NE	7	12	5
Nevada	NV	9	15	3, 4
New Hampshire	NH	1	1	28
New Jersey	NJ	2	3	32
New Mexico	NM	6	15	6
New York	NY	2	2	25, 26
North Carolina	NC	4	6	21
North Dakota	ND	8	11	7

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Ohio	OH	5	9	17, 22
Oklahoma	OK	6	13	10
Oregon	OR	10	16	2
Pennsylvania	PA	3	4	22, 24, 26
Puerto Rico	PR	2	3	29
Rhode Island	RI	1	1	28
South Carolina	SC	4	6	20
South Dakota	SD	8	11	7
Tennessee	TN	4	8	18
Texas	TX	6	14	11
Utah	UT	8	15	5, 6
Vermont	VT	1	1	28
Virginia	VA	3	5	18, 30, 23
Virgin Islands	VI	2	3	29
Washington	WA	10	16	2
West Virginia	WV	3	5	30
Wisconsin	WI	5	11	7, 13
Wyoming	WY	8	15	5
American Samoa	AS	64	17	1

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Guam	GU	65	17	1
Mariana Island	MI	66	17	1